

1                                   **The representation and plasticity of body emotion expression**

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## Abstract

Emotions are expressed by the face, the voice and the whole body. Research on the face and the voice has not only demonstrated that emotions are perceived categorically, but that this perception can be manipulated. The purpose of this study was to investigate, via two separate experiments using adaptation and multisensory techniques, whether the perception of body emotion expressions also shows categorical effects and plasticity. We used an approach known from studies investigating both face and voice emotion perception and created novel morphed affective body stimuli, which varied in small incremental steps between emotions. Participants were instructed to perform an emotion categorisation on these morphed bodies after adaptation to bodies conveying different expressions (Experiment 1), or while simultaneously hearing affective voices (Experiment 2). We show that not only is body expression perceived categorically, but that both adaptation to affective body expressions and concurrent presentation of vocal affective information can shift the categorical boundary between body expressions specifically for the angry body expressions. Overall, our findings provide significant new insights into emotional body categorisation, which may prove important into gaining a deeper understanding of body expression perception in everyday social situations.

**Keywords:** Emotion, Body perception, Adaptation, Multisensory perception, Morphing, Prosody

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## Introduction

46 In daily life we continuously interact with signals conveying emotion from multiple  
47 modalities, including the face, voice and body. However to date the body has been the  
48 ‘forgotten cousin’ of the face and to a lesser extent, the voice, even though evidence confirms  
49 that we are well able to recognise affective information from bodily gestures and postures (de  
50 Gelder, 2009) and that there is an underlying neurobiological processing of body expression  
51 (de Gelder, 2006; Peelen et al. 2007; Peelen et al., 2005; de Gelder et al., 2014). A number of  
52 studies utilising face and voice stimuli morphed between emotions (Laukka, 2005;  
53 Bestelmeyer et al., 2010; de Gelder & Vroomen, 2000) have shown that affective signals are  
54 divided by a distinct categorical boundary. However, categorical perception of body  
55 expressions has not yet been investigated. Furthermore, whether categorical perception can be  
56 shifted – as has been demonstrated for both faces and voices – is unknown.

57 Here we investigated the perceptual representation of body affect, and in particular,  
58 the plasticity of the categorical boundary between different emotion categories. Indeed, a  
59 number of studies have shown that such boundaries can be manipulated using two different  
60 techniques. The first of these is adaptation, the process by which repeated exposure to a  
61 certain stimulus causes loss of sensitivity to those stimulus properties and a shifting of  
62 perception towards opposite features of the adapting stimulus (Grill-Spector et al., 1999).  
63 Adaptation paradigms have allowed us to gain significant insight as to how various sensory  
64 signals are organised and represented at the neural level: specifically, a number of studies  
65 have used this technique to uncover groups of neural populations that are tuned to specific  
66 stimulus attributes (Grill-Spector et al., 1999). Focussing on higher-order visual adaptation  
67 (specifically, studies using face stimuli), an early study by Webster and MacLin (1999)

68 showed that continued adaptation to distorted faces (i.e., faces expanded along the horizontal  
69 and vertical dimensions) caused the dimensions of subsequently viewed faces to appear  
70 altered in the opposite direction (i.e., faces appeared contracted). Adaptation effects have now  
71 been extended to socially important cues such as facial identity (Leopold et al., 2001),  
72 attractiveness (Rhodes et al., 2003), expression (Fox & Barton, 2007; Webster & MacLin,  
73 1999), and gender and ethnicity (Webster & MacLin, 2004).

74 High level auditory adaptation (specifically, that involving voice perception) has been  
75 far less studied. However, more recent evidence does suggest that aftereffects equivalent to  
76 those seen for faces can emerge when using vocal stimuli; for example, within the perception  
77 of voice gender (Schweinberger et al., 2008), identity (Belin & Zatorre, 2003) and affect  
78 (Bestelmeyer et al., 2010). With regards to voice affect, Bestelmeyer et al. showed that  
79 adaptation to angry vocalisations caused voices morphed on an angry-fearful continuum to be  
80 perceived as more fearful, and vice versa. Furthermore, a second experiment using caricatures  
81 showed that aftereffects were not exclusively due to low-level adaptation, but rather appeared  
82 to depend on a higher-level perception of the affective category of the adaptor.

83 Another influence on these perceptual boundaries is concurrent information from  
84 another source of affective signals, simultaneously paired with the unimodal stimuli under  
85 question. This work has mainly concentrated on face-voice combinations. For example,  
86 focussing on work using morphed stimuli, in an early study de Gelder and Vroomen (2000)  
87 presented participants with faces morphed between happiness and sadness, either uncoupled  
88 with a voice or simultaneously presented with either a happy or sad voice, and asked them to  
89 indicate whether they thought the person was happy or sad. Here, participants appeared to  
90 combine both sources of information, with categorisation of each face (apart from those  
91 completely congruent) shifted in the direction of the simultaneously presented voice, even  
92 when instructed to ignore the voice. Similarly, Ethofer et al. (2006) presented participants

93 with either faces alone, voices alone or faces and voices together after which participants gave  
94 an emotional valence rating for each stimulus. Visual stimuli were from a morphed continuum  
95 of photographs, ranging from either happiness to neutral, or neutral to fear, and auditory  
96 stimuli were sentences spoken in either happy or fearful prosody. Participants rated fearful  
97 and neutral facial expressions as more fearful when presented concurrent with a fearfully  
98 spoken sentence.

99           Recently these multisensory effects have been generalised to a broader domain by  
100 investigating affective crossmodal influences in whole-body expressions. Although research  
101 is to date limited, there is evidence that auditory information interacts with and influences that  
102 information we perceive from the body. For example, in a study by Van den Stock et al.  
103 (2008) participants viewed video clips of happy or fearful body language, simultaneously  
104 presented with either congruent or incongruent non-verbal human or animal vocalizations, or  
105 without auditory information. The results indicated that recognition of body language was  
106 biased towards the emotion expressed by the concurrently presented auditory information.

107           In order to explore categorical perception of body emotion, and the plasticity of the  
108 perceptual categories, we created a novel set of body stimuli, morphed between emotions. In  
109 Experiment 1, we studied adaptation effects: specifically, we hypothesised that if adaptation  
110 occurred, the baseline categorisation curve should be shifted in the opposite direction to the  
111 body emotion participants were adapted to. In Experiment 2, we investigated how  
112 simultaneously presented auditory information could affect the body morph categorisation  
113 curve. Here, we hypothesised that the baseline categorisation curve would shift towards the  
114 affective auditory information heard by the participant.

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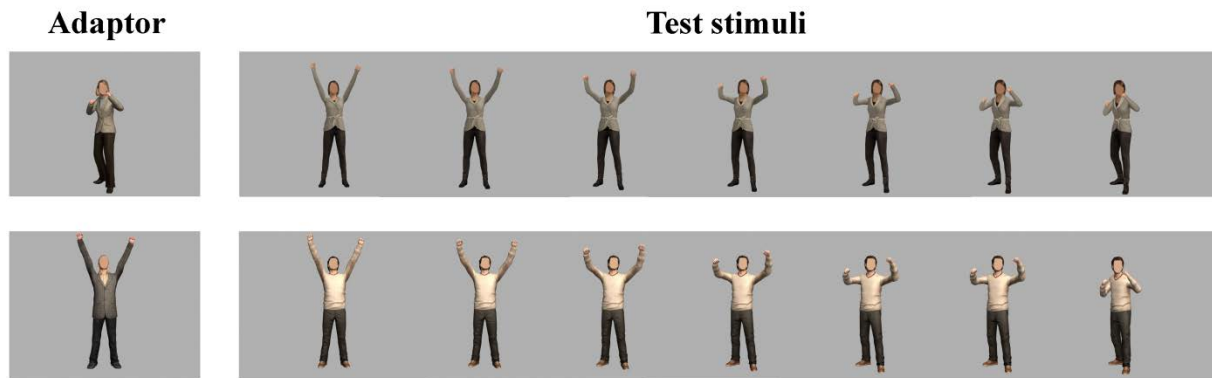
## General Materials and Methods

### 119 **Participants**

120 Participants for both experiments were recruited online through Maastricht University. For  
121 Experiment 1 we recruited 25 participants (11 males, age range 18-36 years, mean  $\pm$  S.D. =  
122  $22.12 \pm 2.60$  years) and for Experiment 2 we recruited a new sample of 24 participants (12  
123 males, age range 19-36 years, mean  $\pm$  S.D. =  $22.83 \pm 3.58$  years). The experiments were  
124 approved by the local ethical committee, and written informed consent was obtained before  
125 participation. Participants received monetary compensation in the form of a five Euro  
126 voucher.

### 127 **Stimuli**

128 Both Experiments 1 and 2 utilised emotional body morph continua constructed based on  
129 independently validated body postures contained within the Bodily Expressive Action  
130 Stimulus Test ('BEAST'; de Gelder & Van den Stock, 2011). Specifically, 'Happy' and  
131 'Angry' body postures from one male and one female were transferred to avatars using 3D  
132 Studio Max (Autodesk). The same software was used to morph between the two expressions  
133 to create one male and one female morphed continua each consisting of 7 body postures.  
134 Particularly, we created an animation with the starting pose of the continuum as the first  
135 frame, and the ending pose as the last frame. The software then automatically calculated the  
136 transition in-between, and this transition was divided in the necessary amount of steps and  
137 then rendered to images. In all stimuli, the faces were blurred so that the only source of  
138 affective information was the body. Examples of stimuli are shown in Figure 1.



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140 **Fig 1.** Illustration of morphed affective body stimuli used in Experiments 1 and 2. In  
 141 Experiment 1, participants were adapted to angry/happy male/female bodies, and then tested  
 142 on bodies drawn from a morphed affective continuum of another, within-gender identity. In  
 143 Experiment 2, participants were tested on morphed affective bodies paired with affective non-  
 144 linguistic vocal bursts.

145

### 146 **Statistical Analyses**

147 In both experiments data for each participant were averaged as a function of each body  
 148 morphing step for each experimental condition (Experiment 1: body morph 1-7 with no  
 149 adaptation, adaptation to anger, adaptation to happiness; Experiment 2: body morph 1-7  
 150 paired with a neutral voice, happy voice, and angry voice). A psychophysical curve based on  
 151 the logistic function was then fitted for each experimental condition, for each participant,  
 152 using Matlab R2012b by way of a multistep adaptive procedure. We furthermore computed  
 153 for each of the individual fitted psychophysical curves the point of subjective equality (PSE),  
 154 which is the point along the morphing continuum that is the most ambiguous perceptually  
 155 (yielding 50% of angry/happy responses). The mean group PSE for each condition, for both  
 156 experiments, is illustrated in Figure 2a and b with a cross on all the group average  
 157 psychophysical curves. To determine differences between conditions within each experiment,  
 158 we investigated whether there was a statistically significant difference between the PSEs of  
 159 the fitted psychophysical curves for each condition by inputting these values into a one factor

160 ANOVA. Greenhouse-Geisser corrected values are reported for ANOVAs in both  
161 experiments.

162

## 163 **Experiment 1: Adaptation to body emotion**

### 164 **Methods**

165 **Stimuli.** Male and female full body morph continua were used as test stimuli, whereas  
166 'Happy' and 'Angry' avatars were used as adaptors. Adaptors were always the same gender  
167 as, but a different identity from the test stimuli. In total we utilised 18 body stimuli: 4 adaptors  
168 (2 genders x 2 emotions) and 14 test stimuli (2 genders x 7 morphs).

169 **Procedure.** The experiment consisted of two main parts: a baseline emotion  
170 categorisation task without prior adaptation, and secondly the adaptation tasks. Both baseline  
171 and the adaptation task required a two-alternative forced choice (2-AFC) judgement of  
172 whether the body was Angry or Happy by means of a button press. The baseline task  
173 consisted of two blocks of trials, one for each gender and was always administered first. The  
174 body of each gender at each of the seven morph steps was repeated five times, leading to 35  
175 trials per gender block. Within each block bodies were presented randomly, each for 800ms,  
176 with an inter-stimulus interval of 2000ms. Following the baseline task we presented  
177 participants with the adaptation task. The trial structure of the adaptation task consisted of one  
178 adapting body presented four times in succession and followed by a test stimulus. Each of the  
179 adapting and test bodies was presented for 800ms, with a 200ms inter-stimulus interval. The  
180 inter-trial interval was 5000ms during which the participants judged the expression of the test  
181 stimulus. They were instructed to respond as soon as the test stimulus presentation was over.  
182 In total there were four adaptation blocks (2 emotion x 2 gender) and each of the seven test  
183 stimuli per gender was repeated five times leading to a total of 35 trials per block. Participants

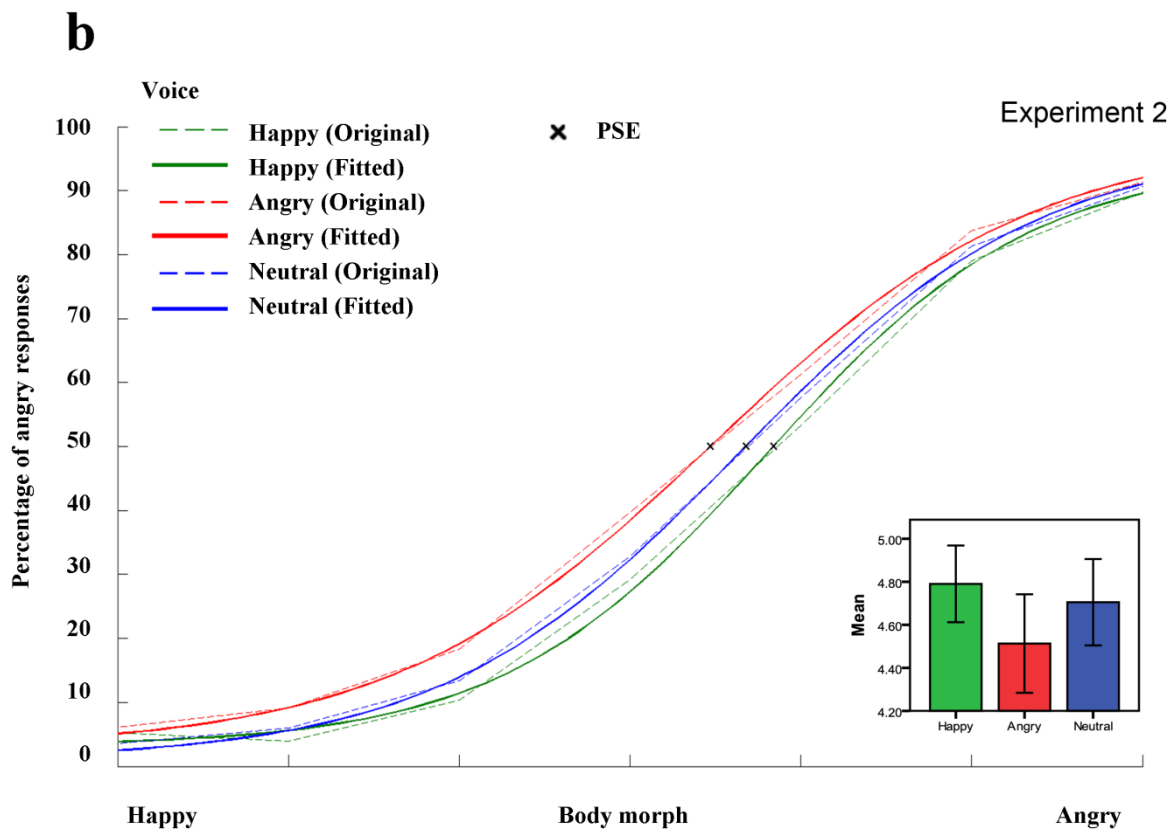
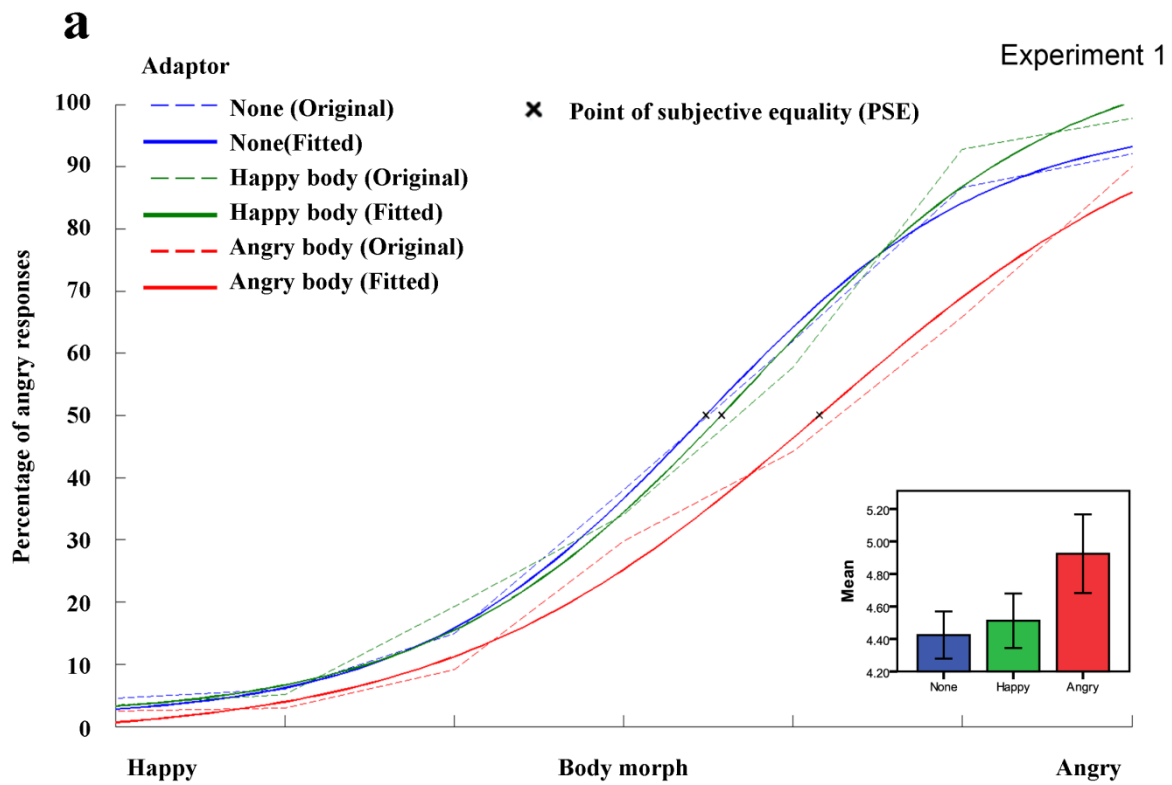


184 were always tested on a different identity than the one they were adapted to. The order of all  
185 baseline and adaptation blocks was counterbalanced; the baseline task by gender and the  
186 adaptation blocks by both gender and the adaptor emotion. All picture stimuli were presented  
187 at 720 x 576 pixels, using Presentation software (Neurobehavioral Systems, Inc.) running on a  
188 PC.

## 189 **Results**

190 The data from one participant was discarded due to the fact we were unable to establish a PSE  
191 for their data for one condition. Fig. 2a illustrates the group-averaged original categorisation  
192 curves for each adaptation condition and fitted function for each of these curves. Firstly we  
193 observed that in the ‘unimodal’ or baseline condition, a categorisation curve similar to those  
194 previously seen for morphed faces and voices emerged. Here the proportion of angry  
195 responses appeared a function of the proportion of ‘angry’ information in the body, with  
196 bodies centred around the ambiguous portion of the continuum being randomly categorised.  
197 The one factor ANOVA revealed a significant effect of adaptation to affective bodies ( $F(1.52,$   
198  $34.99) = 4.51, p < 0.03$ ). Exploring the main effects with Bonferroni correction showed that the  
199 PSE as a result of adaptation to angry bodies was significantly larger (i.e. more happy) than in  
200 the baseline condition ( $p < .025$ ); however, adaptation to happy bodies did not elicit a  
201 significant shift in categorisation ( $p = 0.47$ ).

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204 Figure 2 a: Original and fitted functions for each adaptation condition (blue = no adaptation;

205 green = adaptation to happy bodies; red = adaptation to angry bodies). Percentage of 'angry'

206 responses was plotted as a function of the happy-angry body morph continuum. The point of  
207 subjective equality (PSE) is shown as an 'x' on each of the fitted functions. Inset figure  
208 displays the mean and SE of the PSE values; b: Original and fitted functions for each  
209 audiovisual condition (blue = neutral voice; green = happy voice; red = angry voice). Again,  
210 the percentage of 'angry' responses was plotted as a function of the happy-angry body morph  
211 continuum, and the PSE is shown as an 'x' on each of the fitted functions. Inset panels display  
212 the mean and SE of the PSE values.

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## 214 **Experiment 2: Simultaneous body-voice perception**

### 215 **Methods**

216 **Stimuli.** In addition to the male and female body morph continua we also utilised  
217 auditory stimuli consisting of meaningless human vocalizations (i.e., "ah") expressing happy,  
218 angry or neutral affect, from one male and one female speaker, previously validated as part of  
219 another study (Stienen et al., 2011). The duration of each of the four bursts was 400 ms.

220 **Procedure.** This experiment consisted of 20 blocks (10 using female body morphs, 10  
221 using male body morphs; blocks alternating between gender). In all blocks, each of the 7  
222 morphs were paired with each of the three sounds (happy voice, angry voice, pure tone)  
223 resulting in a total of 21 stimuli. Every audiovisual stimulus was presented for 400ms, with a  
224 2000ms inter-stimulus interval, and stimuli were randomised within each block. As in the  
225 previous experiment, participants performed 2-AFC emotion categorisation task on the body,  
226 and were instructed to respond as quickly and as accurately as possible. Furthermore, they  
227 were explicitly instructed to ignore the simultaneously presented voice and base their decision  
228 only on the body affect. Participants could respond both when the stimulus was playing, and  
229 in the inter-stimulus interval. General experimental set-up (e.g. software etc.) was identical to  
230 Experiment 1.

231

## 232 **Results**

233 Fig. 2b illustrates the group-averaged original categorisation curves for each audiovisual  
234 condition, and fitted function for each of these curves. The one factor ANOVA revealed a  
235 significant effect of affective voices ( $F(1.65, 37.88) = 5.53, p < 0.02$ ). Exploring the main  
236 effects with Bonferroni correction showed that the PSE in the angry voice condition was  
237 significantly smaller (i.e. more angry) than in the baseline condition ( $p < .025$ ); however,  
238 pairing bodies with happy voices did not elicit a significant shift in categorisation ( $p = 0.29$ ).

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240

## **Discussion**

241 Here we investigated, via two separate experiments, how the perceptual boundary  
242 between different body emotions could be altered by either prior or concurrent presentation of  
243 affective signals. In Experiment 1, we observed a clear categorisation of body expression;  
244 furthermore, participants perceived an ambiguous body as significantly happier when they  
245 were adapted to an angry body. Adaptation effects have been demonstrated for both affective  
246 faces and voices; however, in this experiment we demonstrate for the first time that adaptation  
247 to affect in the body can influence the perception of a subsequently presented body  
248 expression. Interestingly, the observed effect appeared to be specific for the emotion  
249 considered, in that only angry bodies exerted a significantly adaptive effect. One suggestion  
250 for this result is that these body expressions have different functions - particularly, their  
251 implementations in action programmes - and underlying neural bases. Possibly different  
252 emotions exert differing adaptive effects, and it may ultimately be in our interest when we are  
253 interacting with our environment that we are less adaptable to certain emotions.

254 The fact that we can observe ‘unimodal’ (i.e. body to body) adaptation effects prompts the  
255 question as to whether we may be able to observe similar ‘crossmodal’ (e.g., voice/face to  
256 body) adaptation effects, as have previously been observed in studies using both faces and  
257 voices as test stimuli (Pye & Bestelmeyer, 2015; Skuk & Schweinberger, 2013). A recent  
258 study showed that adaptation to gender-specific faces could modulate the perception of a  
259 subsequently presented, androgynous body (i.e., after exposure to a female face, the  
260 androgynous body appeared as more male and vice versa; Palumbo et al., 2015). However,  
261 but so far it was not clear whether such effects extend to body and voice emotion  
262 perception. Our novel set of morphed stimuli combined with adaptation techniques may  
263 provide evidence as to how bodies and voices are integrated at a more direct neural level, as  
264 has previously been done for faces and voices (Watson et al., 2014). Furthermore, morphed  
265 body continua may not only provide understanding as to how affect is integrated in healthy  
266 populations, but allow for fine grained assessment of emotion recognition deficits in  
267 conditions such as autism and schizophrenia, previously established within other modalities  
268 (Poljak et al., 2012; Bediou et al., 2012; de Gelder et al., 2005).

269

270 In Experiment 2, we observed again that there is a clear categorisation of body expression and  
271 new results additionally showed that concurrently presented vocal affective information  
272 shifted the emotion perception of an ambiguous body. As in Experiment 1 the effects are  
273 different for angry and happy expressions. Here, it also seems that different expressions or  
274 emotions - in this experiment, concurrently presented affective vocal information – elicit  
275 different effects on body emotion categorisation. Our findings of emotion specificity are in  
276 line with a previous study (Ethofer et al., 2006) which found that when faces were paired with  
277 both happy and fearful vocalisations, it was only the fearful voices pairing that resulted in a  
278 change in emotion perception. These authors suggest this may be due to the fact that signals

279 indicating danger or threat are arguably more biologically relevant than those signals  
280 conveying positive emotions. It may similarly be the case that here angry vocalisations were  
281 inherently more salient, particularly considering the relatively short duration of the stimuli,  
282 and thus provoked a stronger shift in visual emotion categorisation. Still, at this stage and  
283 given the paucity of studies available, direct comparisons of emotions may be misleading. For  
284 example, it makes sense to assume that it is advantageous for the organism not to adapt to  
285 anger, which makes our finding in Experiment 1 counterintuitive. However, to conclude this  
286 would mean to understand better how emotional signals play their role in naturalistic  
287 environments and, very importantly, what the optimal signal strength is that is needed for  
288 behavioral impact. More research is needed here in order to create experimental conditions  
289 where the different emotion categories as well as the different sensory stimuli are functionally  
290 calibrated in terms of their optimal message strength. This is a major task for future studies of  
291 crossmodal affective processes.

292

293 In this experiment we extend on previous research using morphed stimuli, for example  
294 faces (de Gelder & Vroomen, 2000; Ethofer et al., 2006) and voices (Bestelmeyer et al.,  
295 2010), and develop the findings of Van den Stock et al. (2008) in that we used a more fine-  
296 grained set of stimuli (i.e., morphed affective bodies as opposed to only bodies that clearly  
297 expressed one emotion or the other) that allowed us to determine shifts at an ambiguous point  
298 of body emotion categorisation. Furthermore, participants integrated vocal information even  
299 when instructed to attend to only the body. Previous behavioural (de Gelder & Vroomen,  
300 2000; Collignon et al., 2008; Vroomen et al., 2001) and neural (Pourtois et al., 2000; Jessen &  
301 Kotz, 2011) evidence suggests that integration of face-voice emotion seems unaffected by  
302 attentional resources and is integrated at an early stage of processing; our findings appear to  
303 support this line of thinking in the domain of body-voice processing also. This behavioural

304 research prompts the question as to the manner by which body and voice information is  
305 combined in the brain. This to date is under-researched; however, two recent studies using  
306 both electrophysiological (Jessen et al., 2012) and imaging (Jessen & Kotz, 2015) techniques  
307 suggests that bodies and voices are effectively integrated very early in processing, and that  
308 multisensory body-voice emotion perception has a distinct neural underpinning. The results of  
309 the current study are consistent with earlier studies showing that emotional signals merge.  
310 Recognition of emotional body expressions is influenced by the face (Kret, Stekelenburg,  
311 Roelofs & de Gelder, 2013, Experiment 3) and by the social emotional scene (Kret, Roelofs,  
312 Stekelenburg & de Gelder, 2013, Experiment 3) and emotionally incongruent face body  
313 compounds trigger an early ERP signal (Meeren, H. K. M., van Heijnsbergen, C., & de  
314 Gelder, B. (2005).

315

316 In conclusion, this research presents a number of novel theoretical advances. We show  
317 firstly that body emotion is perceived categorically, as has been shown previously for faces  
318 and voices; secondly, that these categories can be modified through visual adaptation; and  
319 thirdly that these categories be modified by auditory information provided by emotion in the  
320 voice. These results, coupled with future similar research within the area of body processing  
321 would no-doubt help the construction of a more detailed model of body perception, and allow  
322 comparisons between the processes underlying affect perception in multiple modalities.

323

#### 324 **Ethics statement**

325 All procedures performed in studies involving human participants were in accordance with  
326 the ethical standards of the institutional and/or national research committee and with the 1964  
327 Helsinki declaration and its later amendments or comparable ethical standards.

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