

1 **Early threat perception is independent of later cognitive and behavioral control.**

2 **A virtual reality-EEG-ECG study**

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10

## 11 **Abstract**

12 Research on social threat has shown influences of various factors, such as agent characteristics,  
13 proximity and social interaction on social threat perception. An important, yet understudied aspect of  
14 threat experience concerns the ability to exert control over the thread. In this study, we used a Virtual  
15 Reality (VR) environment showing an approaching avatar that was either angry (threatening body  
16 expression) or neutral (neutral body expression) and informed participants to stop avatars from coming  
17 closer under five levels of control success (0, 25, 50, 75, or 100%) when they felt uncomfortable.  
18 Behavioral results revealed that social threat triggered faster reactions at a greater virtual distance from  
19 the participant than the neutral avatar. Event-related potentials (ERPs) revealed that the angry avatar  
20 elicited a larger N170/vertex positive potential (VPP) and a smaller N3 than the neutral avatar. The  
21 100% control condition elicited a larger late positive potential (LPP) than the 75% control condition.  
22 In addition, we observed enhanced theta power and accelerated heart rate for the angry avatar vs.  
23 neutral avatar, suggesting that these measures index threat perception. Our results indicate that  
24 perception of social threat takes place in early to middle cortical processing stages, and control ability  
25 is associated with cognitive evaluation in middle to late stages.

## 26 **Keywords**

27 Control success; Electroencephalography; Social threat; Virtual Reality

28

## 29 **1. Introduction**

30 The ability to detect threat and react adaptively is a major evolutionary endowment of many species  
31 (LeDoux & Daw, 2018). Human and non-human studies of defensive behavior have documented

32 different kinds of behavior in the face of threat, mainly freezing and fleeing (Eilam, 2005). Freezing  
33 has been defined as a threat-anticipatory state whereby an individual is hyperattentive to an  
34 environmental, potentially threatening signal, presumably also enhancing its processing (Blanchard et  
35 al., 1986; Livermore et al., 2021; Mobbs & Kim, 2015; Terburg et al., 2018). Previous work has  
36 investigated freezing-like reactivity using threat-related social stimuli, such as facial expressions and  
37 affective films (Hagenaars et al., 2014; Roelofs et al., 2010; Stins et al., 2011), as well as computer-  
38 based tasks (e.g., a gun shooting task) (Gladwin et al., 2016) and most recently whole body expression  
39 (de Borst & de Gelder, 2022; de Gelder et al., 2010; Mello et al., 2022). Bradycardia, a reduction in  
40 one's heart rate, and reduced postural mobility are two principal physiological components of the  
41 freezing state in the face of threats (Roelofs et al., 2010). This pattern of physiological and behavioral  
42 activation is especially coordinated by the subcortical connections between amygdala nuclei - the  
43 basolateral nucleus receiving multisensory information and the central nucleus sending the main  
44 projections out - and the periaqueductal gray, the hypothalamus, and the rostral ventrolateral medulla  
45 (George et al., 2019). Stimulation of this circuit activates the sympathetic and parasympathetic nervous  
46 systems, which in turn coordinate switches from passive defensive states (e.g., freezing) to active  
47 defensive behavior (e.g., flight or fight) (Livermore et al., 2021; Terburg et al., 2018).

48 A critical factor for freezing-like reactions in humans is the proximity of the threat. Studies on  
49 peripersonal space (PPS), the proximate space surrounding the body where interactions with  
50 environmental stimuli occur (Bufacchi & Iannetti, 2018; Di Pellegrino & Làdavas, 2015; Serino, 2019),  
51 have shown that personal distance is an important determinant of defensive behavior in social  
52 interactions (Bogdanova et al., 2021; Brozzoli et al., 2013; Cléry et al., 2015; Graziano & Cooke, 2006;  
53 Pellencin et al., 2018). The defensive reactivity to potentially threatening stimuli near the PPS is

54 associated with reduced motor cortex excitability (Avenanti et al., 2012), increased physiological  
55 reactivity (Ruggiero et al., 2021), and enhanced neural processing of the target stimulus in brain  
56 regions involved in defensive behavior (Vieira et al., 2020). Moreover, the neural network underlying  
57 PPS has been shown to respond also to indicators of social threat, specifically in nearby space (de  
58 Borst et al., 2020; Ellena et al., 2021). A threatening character invading one's personal space is  
59 associated with increased activity in ventral premotor cortex and intraparietal sulcus (areas that are  
60 part of the brain network coding PPS) as well as amygdala and anterior insula (de Borst & de Gelder,  
61 2022). Another line of research using electroencephalography (EEG) has shown that threatening body  
62 expression impact early event-related potentials (ERPs), such as N170 and vertex positive potential  
63 (VPP) (Stekelenburg & de Gelder, 2004; Van Heijnsbergen et al., 2007). These electrophysiological  
64 measures of threat also interact with PPS. A behavioral ERP study used a modified version of a paper-  
65 and-pencil validated measure of comfortable interpersonal distance (CID) to explore how participants  
66 react to the threat of interpersonal distance invasion (Perry et al., 2013). Participants were instructed  
67 to imagine they were in the center of the room, and as a friend or stranger approached, they could press  
68 a key to show that they wanted to stop the person from coming closer. It was observed that the potential  
69 threat (approaching person) elicited larger N1 for strangers compared to friends, whereas  
70 friends/strangers had no significant effect on P1 and LPP components. These ERP responses occurred  
71 from 50 to 800ms. Besides distance, another critical factor for adaptive threat response is related to  
72 control over the threat. Threat experience may be reduced when, for example, threat escape or another  
73 behavioral control is possible (Terburg et al., 2018). Active control behavior refers to a sense of control  
74 that can reduce or stop the approaching threat (Iachini et al., 2016; Wendt et al., 2017).

75 A major obstacle in research on human behavior in the face of social threat is the difficulty of rendering

76 threatening situations in a realistic manner and obtaining valid measures of human behavior and  
77 physiology. The use of virtual reality (VR) opens unique chances for this important research field  
78 (Monti & Aglioti, 2018; Parsons et al., 2017) as it allows participants to experience a threatening event  
79 in a controlled laboratory environment "as if" it was actually happening to them (de Borst & de Gelder,  
80 2022; de Borst et al., 2020; Fusaro et al., 2016; Mello et al., 2022; Tieri et al., 2017). VR-based designs  
81 implementing social threat from avatars have successfully been used in behavioral, fMRI and EEG  
82 studies (de Borst & de Gelder, 2022; Mello et al., 2022; Stolz et al., 2019). Here, we combined VR  
83 with behavioral measures and measures of neural and cardiac activity to assess with millisecond  
84 temporal resolution the impact of the avatar emotion (angry/neutral) and various levels of threat-  
85 control success (0%, 25%, 50%, 75%, or 100%). Our goal was to measure how social threat is  
86 perceived under naturalistic conditions implemented in VR and whether the ability to effectively  
87 control the threat affects how the source of the threat is processed at behavioral, neural, and cardiac  
88 levels.

89

## 90 **2. Methods**

### 91 **2.1 Participants**

92 Thirty healthy right-handed participants were recruited for this study. All participants had normal or  
93 corrected-to-normal vision without brain injury, history of psychiatric disorder, or current psychotropic  
94 medication. Participants provided written consent at the beginning of the experiment. They earned  
95 7.5EUR or received one credit point per hour of participation. Four participants' data were rejected  
96 because they did not press a button in more than 50% of the trials. Twenty-six participants' data were  
97 included in the analysis (13 females, 13 males; age range 18-29 years, mean = 24.65; standard

98 deviation (SD) = 3.60). The Ethical Committee of Maastricht University approved the study, and all  
99 procedures conformed to the Declaration of Helsinki.

## 100 **2.2 Design and procedures**

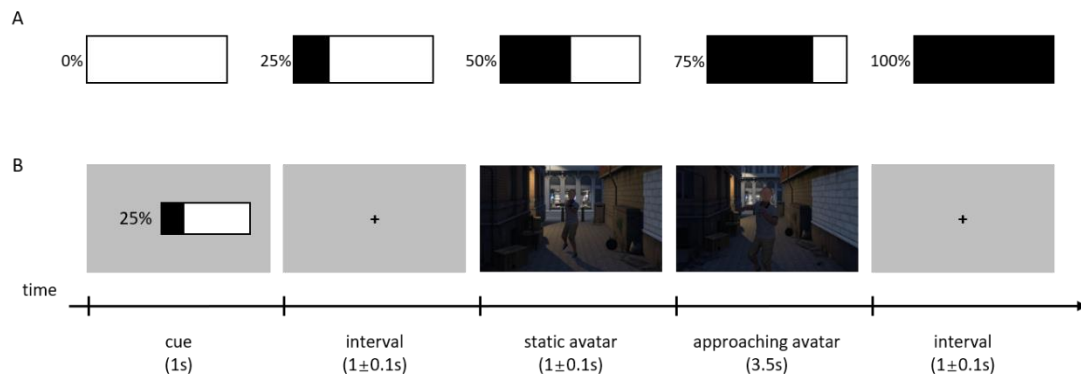
### 101 *2.2.1 VR scenario*

102 The VR scenario consisted of a dark and narrow urban street, in which an avatar expressing an angry  
103 or neutral emotion, appeared and subsequently approached the participant. The VR scenario was  
104 programmed in Unity 3D (Unity Technologies, US). The basic design of the angry (raised arms) and  
105 neutral (arms down) body expressions were similar to a previous study (Mello et al., 2022), while the  
106 VR environment and the task settings were new for the present study. Participants wore a VR headset  
107 (HTC VIVE) and explored the 3D VR world before the start of the experiment by walking along streets  
108 and moving their heads around to visually explore the surroundings. This served to make participants  
109 immersed in the VR environment.

### 110 *2.2.2 Experimental design*

111 The VR environment and task were explained to the participants. Participants were told that an angry  
112 or emotionally neutral avatar would appear in a dark, urban environment and approach them. They  
113 were informed that pressing a control button (space bar) could stop the avatar from coming closer, and  
114 they were encouraged to do so as soon as they felt uncomfortable. At the beginning of each trial, a cue  
115 appeared indicating the likelihood that pressing the space bar would effectively stop the avatar. There  
116 were five different controllable cue conditions (Fig1A). In the 0% condition, the button press never  
117 stopped the approaching avatar, while in the 100% condition pressing the space bar always stopped  
118 the avatar. In the intermediate conditions, the bar press stopped the avatar from approaching with 25%,

119 50% and 75% probability. A sketch of a trial is shown in Fig1B. All trials were preceded by a cue  
120 presented 1s before the avatar's appearance. After a  $1 \pm 0.1$ s interval, the avatar was first standing still  
121 for  $1 \pm 0.1$ s at a virtual distance from the participant of 5m. The avatar then started moving towards  
122 the participant at a speed of 1.43 m/s. Participants were instructed to press the button as soon as they  
123 felt uncomfortable. In some trials, the avatar stopped as participants pressed the button, while at other  
124 trials (those with control success  $<100\%$ ), the button press did not always stop the avatar from  
125 approaching. If participants stopped the avatar successfully, the avatar remained still at its position  
126 until the next trial. The duration from the advent of the avatar until its disappearance was 3.5s.  
127 The study used a  $5 \times 2$  within-subject design with five controllable cue conditions and two avatar  
128 emotions (angry, neutral) conditions. There were 40 trials per condition, and the total number of 400  
129 trials was presented randomly in five runs, lasting in total 1h.



130

131 Fig. 1. (A) Five kinds of controllable cues. (B) A trial procedure.

### 132 2.3 EEG acquisition

133 EEG data were recorded using an international 10-20 system, a scalp cap with 63 electrodes, and a  
134 sampling frequency of 250Hz (BrainVison Products, Munich, Germany). The electrode positioned on  
135 Cz was used as the reference during recording, and the forehead electrode positioned on FP1 was used  
136 as a ground electrode. Four electrodes were used to measure the electrooculogram (EOG). Two of them

137 were used as vertical electrooculograms (VEOG). One was placed above the right eye, and another  
138 was placed below the right eye. The other two electrodes were used as a horizontal electrooculogram  
139 (HEOG), with one placed at the outer canthus of the left eye, and the other at the outer canthus of the  
140 right eye. Three electrodes were used for Electrocardiography (ECG). Two ECG electrodes were put  
141 one centimeter below the center of the left and right collarbones separately. The third ECG electrode  
142 was put on the right waist. The remaining 54 electrodes covered the whole scalp, including locations  
143 FPz, AFz, Fz, FCz, CPz, Pz, POz, Oz, AF7, AF8, AF3, AF4, F7, F8, F5, F6, F3, F4, F1, F2, FC5, FC6,  
144 FC3, FC4, FC1, FC2, T7, T8, C5, C6, C3, C4, C1, C2, TP7, TP8, CP5, CP6, CP3, CP4, CP1, CP2, P7,  
145 P8, P5, P6, P3, P4, P1, P2, PO7, PO8, PO3, PO4, O1, and O2. Impedances for reference and ground  
146 were maintained below 5kOhm and all other electrodes below 10kOhm. The heavy VR headset could  
147 potentially influence the EEG signal and cause head movement during the EEG data collection. To  
148 reduce these risks, we combined VR with a chin rest in our EEG experiment. After lowering impedance,  
149 the VR headset was carefully placed on the chin rest. The participants were standing against a height-  
150 adjustable bar chair in front of a high desk with the VR headset-Chin rest setup.

## 151 **2.4 EEG data preprocessing**

152 EEG data were preprocessed and analyzed using FieldTrip version 20220104 (Oostenveld et al., 2011)  
153 in Matlab R2021b (MathWorks, U.S.). The signal was first segmented into epochs from 1000ms pre-  
154 stimulus (the static avatar) to 2000ms post-stimulus and then filtered with a 0.1–30 Hz band-pass filter.  
155 EEG data at each electrode were re-referenced to the average of all electrodes. Artifact rejection was  
156 done using independent component analysis (ICA, logistic infomax ICA algorithm; Bell & Sejnowski,  
157 1995); on average,  $1.88 \pm 0.33$  (mean  $\pm$  SD) components were removed per participant. Finally, single  
158 trials during which the peak amplitude exceeded 3 SD above/below the mean amplitude were rejected.



159 On average,  $75.36\% \pm 5.27\%$  (mean  $\pm$  SD) trials were preserved and statistically analyzed per  
160 participant.

## 161 **2.5 Event-related potential analyses**

162 The ERP analyses performed were time locked to the presentation of the static avatar to derive clean  
163 ERPs in response to the still image. Here, a time window from 200ms before the onset of static avatar  
164 until 1000ms after the onset was extracted from each trial of the preprocessed data. A baseline  
165 correction was applied by subtracting the average amplitude during the interval (-200 ~ 0ms) before  
166 the onset of the static avatar. The segmented EEG for each participant was averaged for each  
167 experimental condition, resulting in ERPs used for further statistical analyses, which were performed  
168 using IBM SPSS Statistics 27 (IBM Corp., Armonk, NY, USA). In the ERP analysis, we focused on  
169 the ERPs elicited by social threatening/non-threatening body expressions (as represented by  
170 angry/neutral avatars) and their sensitivity to the level of threat control (controllable cues). We  
171 separated the EEG channels into five spatial clusters and identified for each region a prominent ERP  
172 component and centered a time window on its peak based on visual inspection of the overall ERP  
173 waveform, topographical distribution of grand-averaged ERP and previous studies (Chai et al., 2022;  
174 Cunningham et al., 2005; de Gelder et al., 2004; He et al., 2011; Luo et al., 2010; Stekelenburg & de  
175 Gelder, 2004; Van Heijnsbergen et al., 2007). The resulting ERP components and associated time  
176 windows are shown for each region in table 1. The mean amplitude was computed as the average of  
177 all electrodes within the cluster within the specific time window.

178 A repeated-measures  $5 \times 2$  ANOVA (Controllable cue: 0% / 25% / 50% / 75% / 100%  $\times$  Avatar emotion:  
179 angry/neutral) was applied to the mean amplitudes; this was done for each ERP component separately.  
180 Degrees of freedom for F-ratios were corrected with the Greenhouse-Geisser method. Statistical

181 differences were considered as significant given a  $p < .05$ . To control for type I errors, a Bonferroni  
182 correction was applied to the  $p$ -values associated with the main effects and interaction effects of every  
183 ERP component. Only corrected  $p$ -values were reported.

184 Table 1. Brain regions and electrodes of ERP components and associated time windows.

| ERPs | Brain regions                     | Electrodes                         | Time windows |
|------|-----------------------------------|------------------------------------|--------------|
| N170 | Temporal                          | P7, P8, TP7, TP8, CP5, CP6, P5, P6 | 180-230ms    |
| VPP  | Central-occipital midline         | Cz, CPz, Pz, POz, Oz               | 200-250ms    |
| P3   | Parietal                          | P5, P6, P7, P8, PO7, PO8           | 280-350ms    |
| N3   | Frontal-central (with midline)    | FCz, Cz, FC1, FC2, C1, C2          | 300-350ms    |
| LPP  | Frontal-central (without midline) | FC5, FC6, F5, F6, F7, F8, C5, C6   | 500-800ms    |

## 185 2.6 Time-frequency analyses

186 To assess temporal variations in oscillatory EEG power within the range from 1-30Hz, we decomposed  
187 each trial using the complex Morlet wavelet transform (frequency-bin size: 1 Hz, three cycles per time  
188 window, time-bin size: 50ms). To reduce edge effects, we applied the time-frequency analysis to  
189 epochs of longer duration (corresponding to the duration of the preprocessed epochs before ERP  
190 computation; see above) and used a longer and earlier baseline in the interval (-500 ~ -100ms) before  
191 the onset of the static avatar. We focused the statistical analysis on oscillatory power in the theta (4-  
192 7Hz) band, based on literature showing that theta activity is related to the processing of threat and  
193 control, especially at frontal and central scalp regions (DeLaRosa et al., 2014; Lange et al., 2022; Ma  
194 et al., 2016). Thus electrodes positioned at Fz, FCz, Cz, F1, F2, FC1, FC2, C1, and C2 were selected  
195 for this analysis. Inspection of theta power revealed a peak between 100ms and 200ms after the onset

196 time in the frontal central region consistently across conditions. Based on this observation, we  
197 extracted mean theta power during the time window (100 - 200ms) at the selected electrodes and  
198 statistically analyzed it using the same repeated measures ANOVAs as for the ERP analysis; see above.

## 199 **2.7 ECG analyses**

200 A time window from 500ms before static avatar onset to 4500ms after the onset was extracted from  
201 the continuous ECG data. The ECGdeli toolbox (Pilia et al., 2021) was used for analyzing heart rate.  
202 One participant's ECG data was not recorded; thus, 25 participants' ECG data were included in the  
203 analysis. The electrode that was placed under the left collarbone was selected for this analysis as it was  
204 positioned closest to the heart, giving the strongest signal. Statistical analyses were the same as for  
205 ERP and theta power; see above.

## 206 **2.8 Behavioral analyses**

207 We instructed participants to press the button as soon as they felt uncomfortable with the approaching  
208 avatar. In some trials, participants pressed the button once (4.81% trials), while in other trials,  
209 participants did not press the button or pressed it more than once (16.42% trials). Two behavioral  
210 indicators were recorded. First, the virtual distance between the participant and avatar at the time when  
211 participants first pressed the button. For this, the time at which the participants pressed the response  
212 button was multiplied by the speed by which the avatar was approaching and this was subsequently  
213 subtracted by the distance at which the avatar initially appeared (Distance = 3.5-Response  
214 Time\*Speed). Second, the number of button presses was recorded. Like the physiological measures  
215 above, each behavioral indicator was subjected to a 5 (Controllable cue: 0% / 25% / 50% / 75% / 100%)  
216  $\times$  2 (Avatar emotion: angry/neutral) repeated-measures ANOVA.

## 217 **2.9 VR questionnaire**

218 Information about the participants' subjective experience during the VR scenario was obtained with a  
219 questionnaire, which participants filled in after the experiment (Seinfeld et al., 2016; Seinfeld et al.,  
220 2021). The individual questionnaire items are shown in Table 2.

221

## 222 **3. Results**

### 223 **3.1 VR questionnaire results**

224 The items of the VR questionnaire and the mean  $\pm$  SD of each item scores are shown in Table 2. We  
225 used a 7-point scale to test subjective feelings during the experiment, taking the median value "4" (the  
226 neutral subjective experience) as a reference to which we compared participants' scores on each item.  
227 One-sample t-test results showed that realism ( $t(25) = 2.74, p = .011$ ), attention to cue ( $t(25) = 3.61,$   
228  $p = .001$ ), fear of approaching angry avatar ( $t(25) = 4.55, p < .001$ ) and fear of approaching neutral  
229 avatar ( $t(25) = 2.46, p < .021$ ) were significantly larger than the reference value of 4, while assaulted  
230 ( $t(25) = -2.33, p = .028$ ), fear of static angry avatar ( $t(25) = -2.36, p = .026$ ), and fear of static neutral  
231 avatar ( $t(25) = -7.75, p < .001$ ) were significantly smaller than the reference value. Furthermore,  
232 subjective experience of vulnerability ( $t(25) = -1.12, p = .904$ ) and violence ( $t(25) = -1.14, p = .266$ )  
233 were not significant. Paired t-test results revealed that fear of a static angry avatar was significantly  
234 larger than fear of a static neutral avatar ( $t(25) = 4.44, p < .001$ ), and fear of an approaching angry  
235 avatar was significantly larger than fear of an approaching neutral avatar ( $t(25) = 3.99, p < .001$ ).  
236 Table 2. The items and mean  $\pm$  SD rating scores in the VR questionnaire are shown. Ratings were made on a 7-  
237 point scale (1=not at all, 7=completely).

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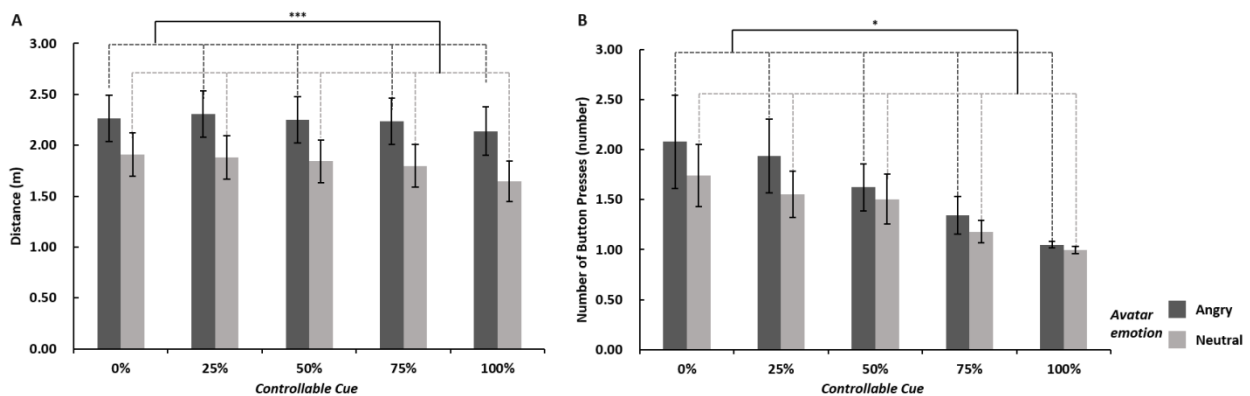
| <i>Item</i>                                  | <b>Question</b>   | <b>Mean <math>\pm</math> SD</b> |
|--|---|---------------------------------|
| <i>Realism</i>                               | To what extent have you experienced the situation as if it was real?          | 4.69 $\pm$ 1.29                 |
| <i>Vulnerable</i>                            | Did you feel at any time that you were vulnerable?                            | 3.96 $\pm$ 1.61                 |
| <i>Violent</i>                               | How violent do you find this scene is?  | 3.69 $\pm$ 1.38                 |
| <i>Assaulted</i>                             | Did you think that you could be physically assaulted during the scene?        | 3.19 $\pm$ 1.77                 |
| <i>Attention to cue</i>                      | How much attention did you pay to the probability cues during the experience? | 5.08 $\pm$ 1.52                 |
| <i>Fear of static angry avatar</i>           | How fearful did you feel when facing the static angry avatar?                 | 3.23 $\pm$ 1.67                 |
| <i>Fear of an approaching angry avatar</i>   | The sense of uncomfortable increased when the avatar got closer to me.        | 5.38 $\pm$ 1.55                 |
| <i>Fear of static neutral avatar</i>         | How fearful did you feel when facing the static neutral avatar?               | 1.96 $\pm$ 1.34                 |
| <i>Fear of an approaching neutral avatar</i> | The sense of uncomfortable increased when the avatar got closer to me.        | 4.69 $\pm$ 1.44                 |

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## 238 **3.2 Behavioral results**

239 For the first behavioral indicator (distance), the main effect of emotion was significant ( $F(1, 25) =$   
240  $14.33, p < .001, \eta_p^2 = 0.36$ ) such that the distance between participants and the avatar was bigger when  
241 they saw the angry ( $2.24 \pm 0.23$ ) than neutral avatar ( $1.82 \pm 0.21$ ). The main effect of controllable cue  
242 was significant too ( $F(4, 100) = 4.56, p = .029, \eta_p^2 = 0.15$ ). A paired T-test between each controllable  
243 cue condition revealed no significant results after Bonferroni correction. The linear effect of  
244 controllable cue was significant ( $F(1, 25) = 5.07, p = .033, \eta_p^2 = 0.17$ ), showing that as the probability  
245 of the controllable cue increased, the tolerated distance decreased. The interaction effect between

246 emotion and controllable cue was non-significant ( $F(4, 100) = 2.28, p = .096, \eta_p^2 = 0.08$ ) (Fig2A).  
247 Applying the same analyses to the second behavioral indicator (number of button presses) yielded  
248 qualitatively identical results, showing more button responses to the angry vs neutral avatar and to low  
249 vs. high probabilities of control success (main effect of avatar emotion:  $F(1, 25) = 4.43, p = .045, \eta_p^2 =$   
250  $= 0.15$ , angry:  $1.61 \pm 0.25$ , neutral avatar:  $1.40 \pm 0.18$ ; main effect of controllable cue: ( $F(4, 100) =$   
251  $6.15, p = .016, \eta_p^2 = 0.20$ ); linear effect of the controllable cue:  $F(1, 25) = 6.58, p = .017, \eta_p^2 = 0.21$ ;  
252 interaction effect (not significant):  $F(4, 100) = 1.83, p = .178, \eta_p^2 = 0.07$ ) (Fig2B).

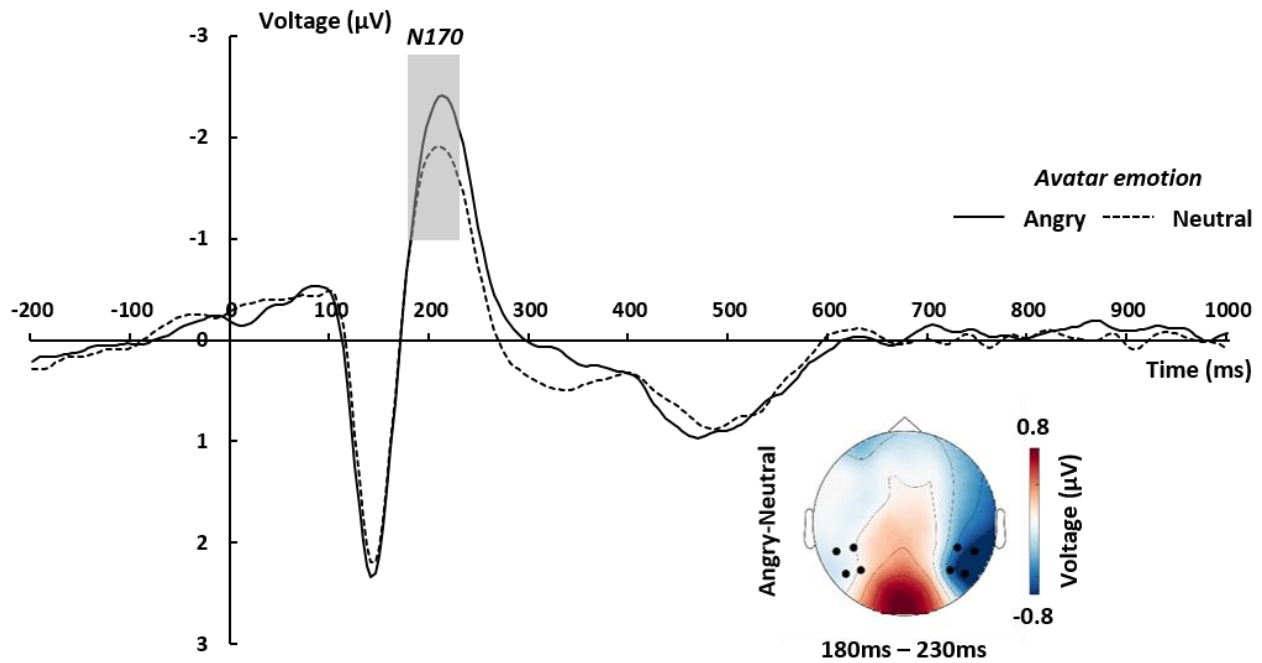


253  
254 Fig. 2. (A) Means and standard error (SE) of distance per condition. (B) Means and S.E. of the number of button  
255 presses per condition. \*\*\* $p < .001$ , \* $p < .05$

### 256 3.3 ERPs

#### 257 3.3.1 N170

258 The main effect of avatar emotion on the N170 amplitude was significant ( $F(1, 25) = 9.77, p = .017,$   
259  $\eta_p^2 = 0.28$ ) such that the angry avatar elicited larger N170 amplitudes ( $-2.04 \pm 0.48 \mu\text{V}$ ) than the neutral  
260 avatar ( $-1.68 \pm 0.44 \mu\text{V}$ ). The main effect of controllable cue ( $F(4, 100) = 0.34, p = 1, \eta_p^2 = 0.01$ ) and  
261 the interaction of the two factors were not significant ( $F(4, 100) = 1.23, p = 1, \eta_p^2 = 0.05$ ) (Fig3).

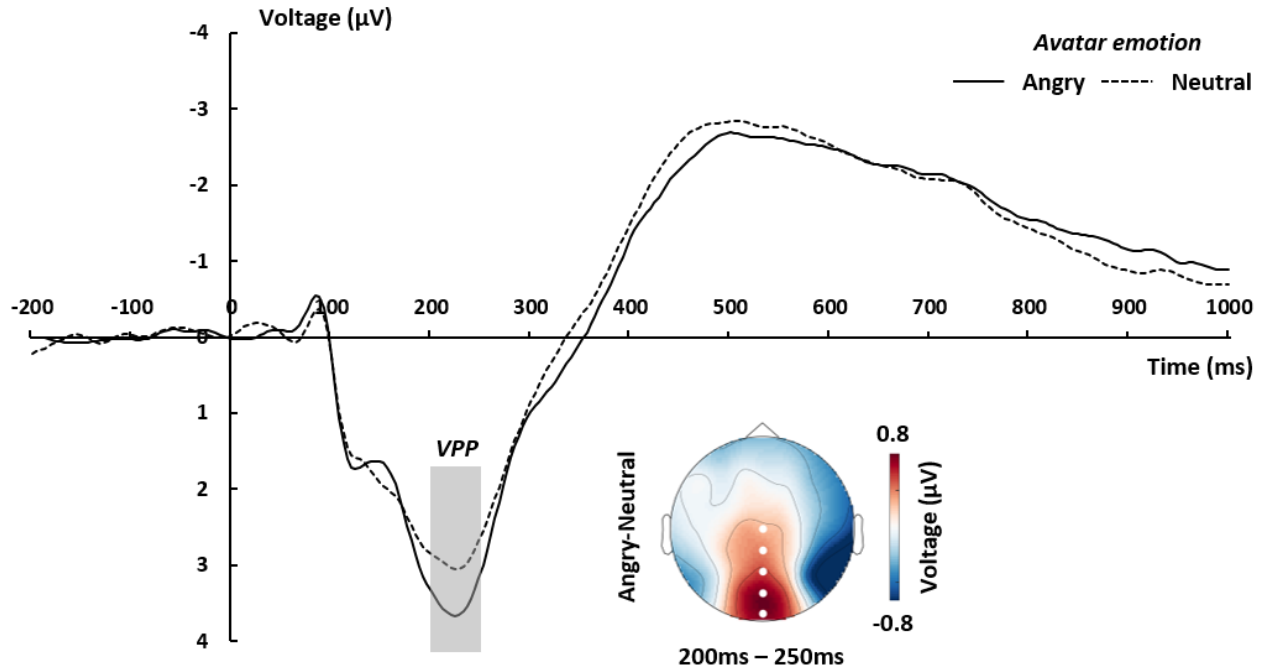


262

263 Fig. 3. Grand-averaged ERP waveforms of N170 per avatar emotion condition. Waveforms were calculated by  
264 averaging the data at the electrodes P7, P8, TP7, TP8, CP5, CP6, P5, and P6, and across the controllable cue  
265 conditions. The "angry" minus "neutral" topographic map was calculated by averaging the data within a time window  
266 of 180 to 230ms after the onset of the static avatar. The black dots highlight the electrodes that were used to calculate  
267 grand-averaged ERPs.

### 268 3.3.2 VPP

269 The main effect of avatar emotion on VPP was significant ( $F(1, 25) = 12.63, p = .006, \eta_p^2 = 0.34$ ) such  
270 that the angry avatar elicited larger VPP amplitudes ( $3.50 \pm 0.53 \mu\text{V}$ ) than the neutral avatar ( $2.93 \pm$   
271  $0.51 \mu\text{V}$ ). The main effect of the controllable cue ( $F(4, 100) = 1.06, p = 1, \eta_p^2 = 0.04$ ) and the  
272 interaction of the two factors were not significant ( $F(4, 100) = 0.12, p = 1, \eta_p^2 = 0.01$ ) (Fig4).



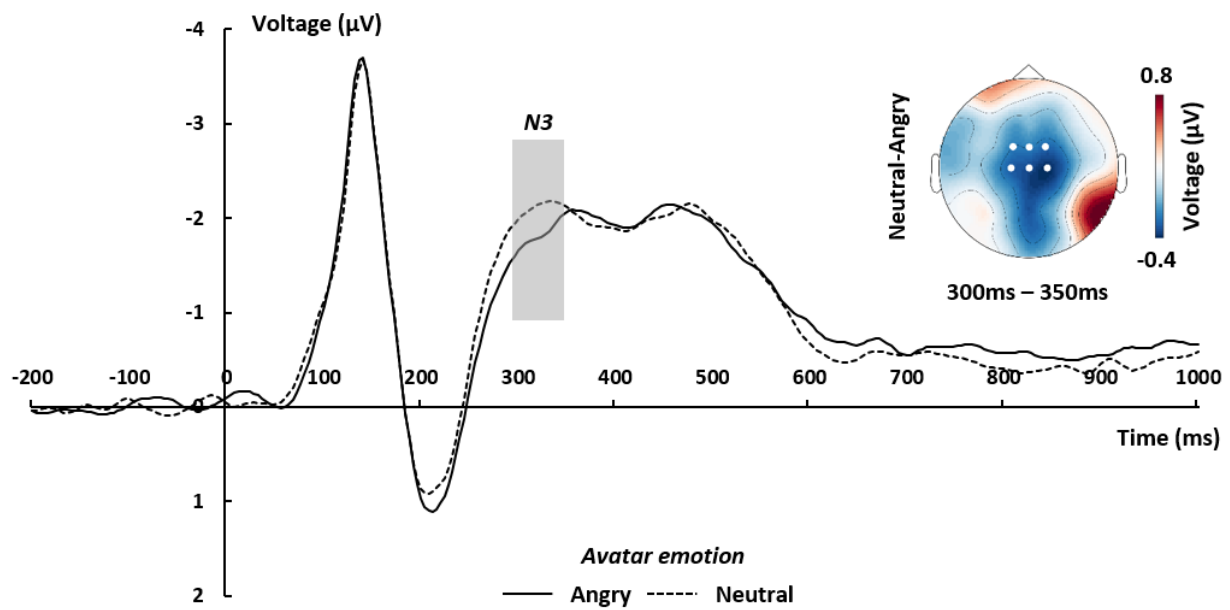
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274 Fig. 4. Grand-averaged ERP waveforms of VPP per avatar emotion condition. Waveforms were calculated by  
275 averaging the data at the electrodes Cz, CPz, Pz, POz, and Oz, and averaged across controllable cue conditions. The  
276 "angry" minus "neutral" topographic map was calculated by averaging the data within a time window of 200 to 250ms  
277 after the onset of the static avatar. The white dots highlight the electrodes that were used to calculate grand-averaged  
278 ERPs.

### 279 3.3.3 N3

280 The main effect of avatar emotion on N3 was significant ( $F(1, 25) = 9.28, p = .021, \eta_p^2 = 0.27$ ), such  
281 that the angry avatar elicited smaller amplitudes ( $-1.83 \pm 0.25 \mu\text{V}$ ) than the neutral ( $-2.12 \pm 0.26 \mu\text{V}$ )  
282 avatar. The main effect of the controllable cue ( $F(4, 100) = 2.37, p = .300, \eta_p^2 = 0.09$ ) and the  
283 interaction of the two factors were not significant ( $F(4, 100) = 0.99, p = 1, \eta_p^2 = 0.04$ ) (Fig5).





284

285 Fig. 5. Grand-averaged ERP waveforms of frontal-central N3 per avatar emotion condition. Waveforms were

286 calculated by averaging the data at the electrodes FCz, Cz, FC1, FC2, C1, and C2, and averaged across controllable

287 cue conditions. The "neutral" minus "angry" topographic map was calculated by averaging the data within a time

288 window of 300 to 350ms after the onset of the static avatar. The white dots highlight the electrodes which were used

289 to calculate grand-averaged ERPs.

### 290 3.3.4 P3

291 The main effect of avatar emotion on P3 was not significant ( $F(1, 25) = 4.81, p = .150, \eta_p^2 = 0.16$ ),

292 such that the angry avatar elicited smaller amplitudes ( $2.43 \pm 0.57 \mu\text{V}$ ) than the neutral avatar ( $2.76 \pm$

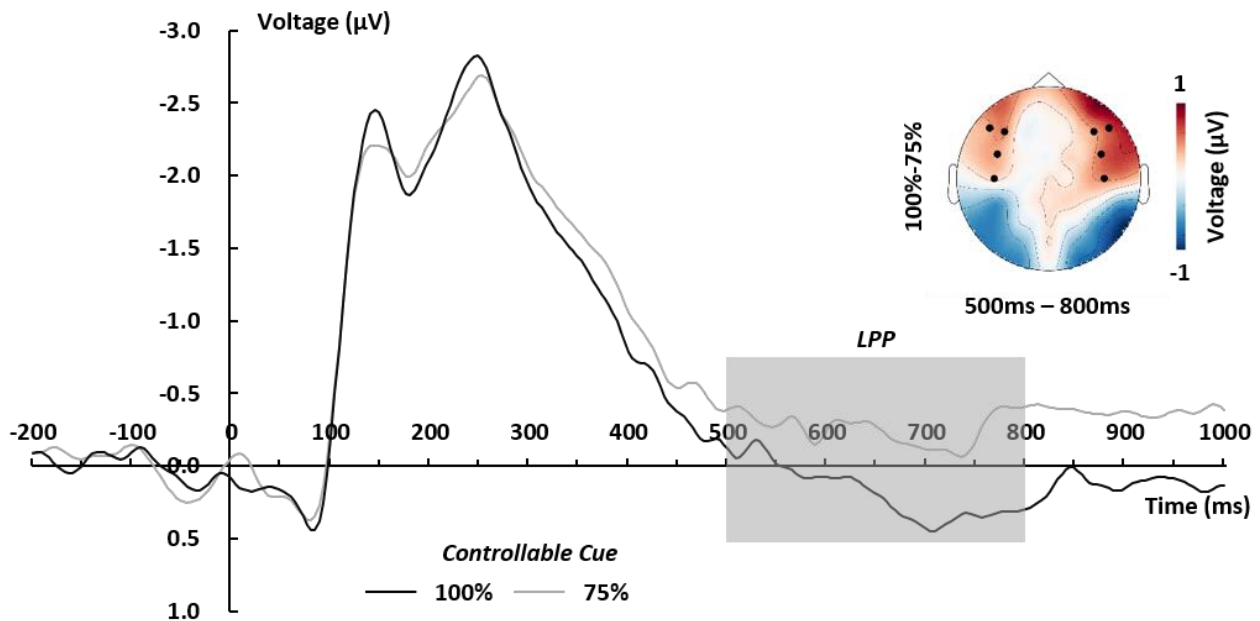
293  $0.57 \mu\text{V}$ ). The main effect of controllable cue ( $F(4, 100) = 1.36, p = 1, \eta_p^2 = 0.05$ ) and the interaction

294 of the two factors were not significant ( $F(4, 100) = 0.33, p = 1, \eta_p^2 = 0.01$ ).

### 295 3.3.5 LPP

296 The main effect of controllable cue on LPP was significant ( $F(4, 100) = 4.24, p = .034, \eta_p^2 = 0.15$ ),

297 such that the 100% cue elicited larger amplitudes ( $0.18 \pm 0.25 \mu\text{V}$ ) than the 75% cue ( $-2.56 \pm 0.26$   
298  $\mu\text{V}$ ). The main effect of avatar emotion was not significant ( $F(1, 25) = 1.47, p = .950, \eta_p^2 = 0.05$ ).  
299 The interaction of controllable cue and avatar emotion was not significant ( $F(4, 100) = 2.68, p = .171,$   
300  $\eta_p^2 = 0.10$ ). (Fig6).



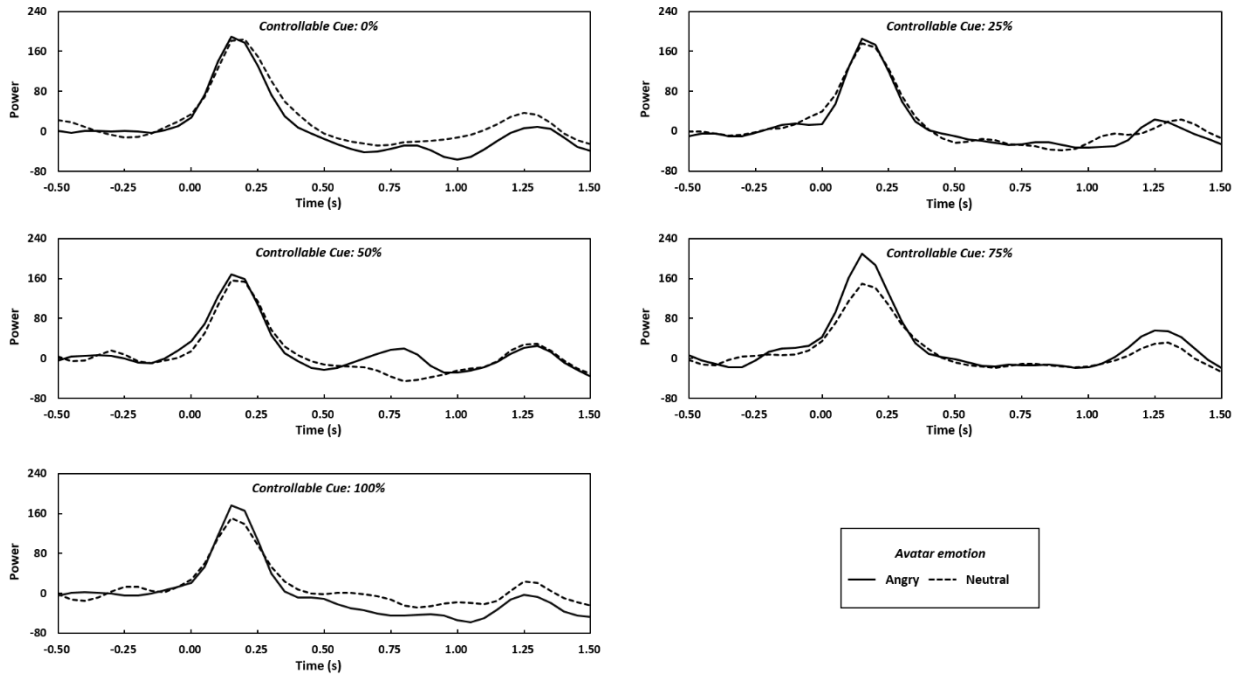
301

302 Fig. 6. Grand-averaged ERP waveforms of frontal-center LPP under the 100% and 75% controllable cue conditions.  
303 Waveforms were calculated by averaging the data at the electrodes FC5, FC6, F5, F6, F7, F8, C5, and C6. The 100%  
304 controllable cue condition minus 75% controllable cue condition topographic map was calculated by averaging the  
305 data within a time window of 500 to 800ms after the onset of the static avatar. The black dots are highlighted  
306 electrodes which were used to calculate grand-averaged ERPs.

### 307 3.4 Time-frequency results

308 The main effect of avatar emotion on frontocentral theta power was significant ( $F(1, 25) = 7.87, p$   
309  $= .010, \eta_p^2 = 0.24$ ): theta power under the angry avatar condition ( $159.48 \pm 20.68 \text{ dB}$ ) was increased  
310 compared to neutral avatar condition ( $140.24 \pm 20.85 \text{ dB}$ ). The main effect of controllable cue ( $F(4,$   
311  $100) = 0.84, p = .501, \eta_p^2 = 0.03$ ) and the interaction of the two factors were not significant ( $F(4, 100)$

312 = 0.74,  $p = .544$ ,  $\eta_p^2 = 0.03$ ) (Fig7).



313

314 Fig. 7. Theta power was calculated by averaging the theta band (4-7Hz) at the electrodes Fz, FCz, Cz,

315 F1, F2, FC1, FC2, C1, and C2 per condition.

### 316 3.5 ECG results

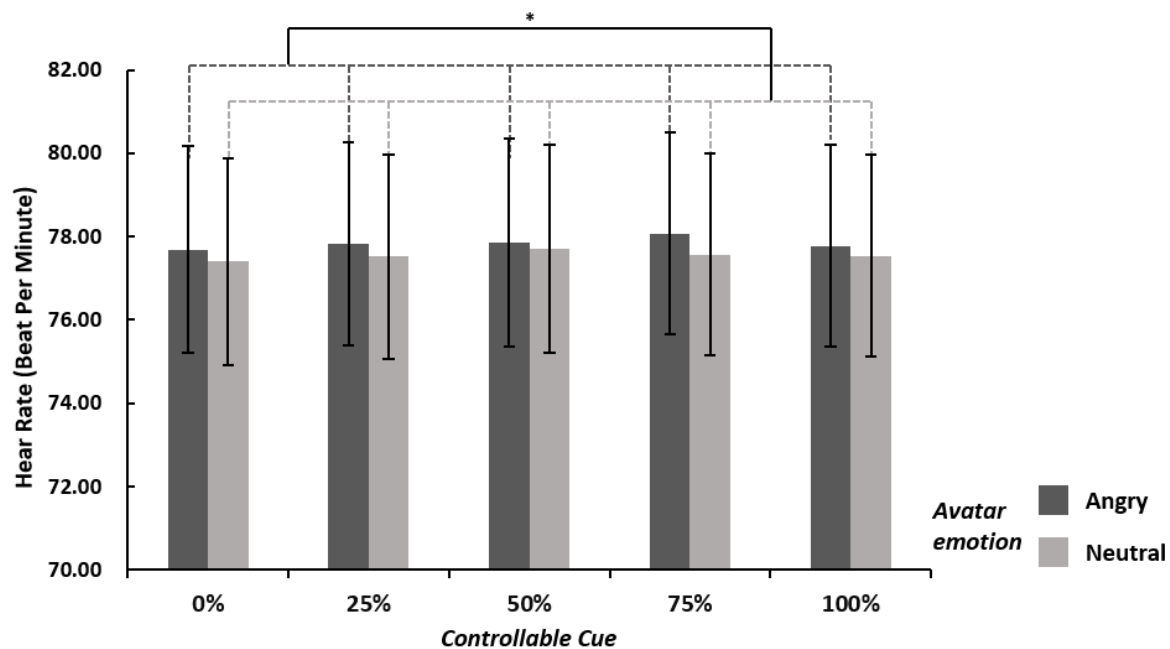
317 Avatar emotion had a significant main effect on ECG ( $F(1, 24) = 7.482$ ,  $p = .012$ ,  $\eta_p^2 = 0.24$ ) such that

318 the angry avatar elicited a higher heart rate ( $77.84 \pm 2.49$  beats per minute, BPM) than the neutral

319 avatar ( $77.55 \pm 2.45$  BPM) did. The main effect of controllable cue ( $F(4, 96) = 1.24$ ,  $p = .301$ ,  $\eta_p^2 =$

320 0.05) and the interaction of the two factors were not significant ( $F(4, 96) = 0.45$ ,  $p = .744$ ,  $\eta_p^2 = 0.02$ )

321 (Fig8).



322

323 Fig. 8. Means and SE of heart rate per condition. \* $p < .05$

324

#### 325 4. Discussion

326 In this study, we investigated the behavioral, EEG, and cardiac responses of human participants that  
327 were facing angry and neutral avatars in a VR environment in which they had control various degrees  
328 of control over the interaction with the avatar. Behaviorally, we observed a difference in the  
329 time/distance at which participants felt uncomfortable with the approaching avatar depending on the  
330 presence of threat. This is in line with the literature showing that threat imminence triggers defensive  
331 behavior (Blanchard & Blanchard, 1990; de Haan et al., 2016; Qi et al., 2018; Riem et al., 2019;  
332 Terburg et al., 2018). The impact of personal distance for social threat experience was first shown with  
333 full body expression of avatars in a study using VR and fMRI (de Borst et al., 2020). Combining VR  
334 with EEG in the present study now allows a detailed picture of the time courses. The questionnaire  
335 results also suggested that participants felt more threatened when facing an angry than a neutral avatar.

336 Concerning the impact of controllability, we found a significant effect of the controllable cue condition  
337 for each of the two behavioral indicators. First, as the probability of successful control increased, the  
338 distance from the avatar that participants judged tolerable decreased. This result is supported by a  
339 previous behavioral study (Iachini et al., 2016). Second, we observed that as the probability of  
340 successful control decreased, the number of button presses increased. This is consistent with the notion  
341 that the closer a threatening stimulus is to the self, the more likely the danger and the stronger the  
342 elicited defensive responses (Bufacchi, 2017). In our experiment, the button press was regarded as a  
343 defensive behavior. As the chances of successfully stopping the approaching avatar became higher, the  
344 number of button presses (defensive behavior) decreased.

345 At the neural level, we have three major findings. Seeing a threatening body expression (angry avatar)  
346 increased the amplitude of early ERP components (N170 and VPP) compared to non-threatening body  
347 expressions (neutral avatar). Furthermore, threatening body expressions elicited a smaller N3 than  
348 neutral body expressions. Finally, full control (100% controllable cue) increased the amplitude of the  
349 late component LPP as compared to the 75% controllable cue. Taken together, we show that social  
350 threat is detected in the early stages and independently of the possibility of control. In contrast, the  
351 impact of perceived control over the threat is reflected in the electrophysiological responses at later  
352 stages.

353

354 *Early threat detection.* Our results indicate that participants were more sensitive to affective stimuli  
355 than neutral ones in the early stages of full body avatar processing. There are consistent but relatively  
356 few findings on body perception, a situation reflecting that whole body perception is still much less  
357 studied than face perception. Previous studies have reported that not only facial expressions but also

358 whole body images trigger this early brain activity (Farzmaḥdi et al., 2021; Meeren et al., 2005;  
359 Stekelenburg & de Gelder, 2004; Van Heijnsbergen et al., 2007) and that the activity in this time  
360 window is sensitive to the emotional expression as shown by larger VPP amplitudes for a fearful than  
361 a neutral body (Stekelenburg & de Gelder, 2004). Also, consistent with our results, N170 and VPP  
362 seem to derive from a common source in the brain (Joyce & Rossion, 2005). An interesting finding  
363 consistent with the present results is that top down attention to the body stimulus did not influence the  
364 N170 amplitudes (Hietanen et al., 2014), indicating that body expression perception is an automatic  
365 stimulus driven process. Here we add to this by showing that clear knowledge of subjective control of  
366 the threat does not impact the course of early body expression perception. This result suggests that we  
367 are observing here the early stages of threat perception, that are then followed by calculations of  
368 alternative escape decisions (Qi et al., 2018). Given this interpretation of the processes associated with  
369 N170, it is worth stressing that our results were obtained in a VR setting which is characterized by an  
370 immersive experience of realism but also at the same time, a subjective understanding that the  
371 experience is not real, in our case that the participant is not really threatened. An alternative outcome  
372 might have been that participants knowledge of the danger being 'unreal' would have overruled this  
373 early signature of threat experience.

374

375 *Temporal dynamics of behavioral control.* The middle-late component N3 is related to source  
376 allocation and response preparation. A lower amplitude of the N3 component is thought to reflect that  
377 more cognitive resources and brain resources are being mobilized to prepare for a response to the threat  
378 (Coenen, 1995; Ke et al., 2022; Mayer et al., 2021). E.g., higher cognitive tasks have been shown to  
379 elicit lower N3 amplitudes than simpler tasks (Michida et al., 1998). Moreover, negative emotional

380 visual stimuli have been observed to evoke lower N3 than positive emotional ones (Ke et al., 2022).  
381 In our experiment, we found threatening body expressions to elicit lower N3 than neutral body  
382 expressions, suggesting that the threatening stimuli elicited negative emotions and required more  
383 cognitive resources than the neutral stimuli.

384

385 Concerning the late positive potential (LPP), previous studies reported that LPP refers to task-relevant,  
386 motivational engagement and action preparation during the later stage (Di Lemma et al., 2020; Gable  
387 et al., 2015; Gantiva et al., 2020). Johnen and Harrison found that LPP amplitude was larger under  
388 conditions of certainty compared to less certain conditions (Johnen & Harrison, 2020). In line with this  
389 study, we found that perfect control (100% controllable cue) elicited larger LPP than the 75%  
390 controllable cue. This suggests that perfect control opportunity resulted in more motivation  
391 engagement than 75% control success.

392 We also examined oscillatory brain responses in relation to differences in successful control probability  
393 for threatening and neutral body expression. Our data show that theta power in frontal central regions  
394 was only modulated by avatar emotion. There was a significant increase in response to the threatening  
395 body expression (angry avatar) compared with the neutral body expression (neutral avatar). This is  
396 consistent with findings showing that increased theta power is related to higher emotional arousal  
397 (Aftanas et al., 2001; Aftanas et al., 2002; Sulpizio et al., 2021) and that greater theta power may be  
398 induced by social threat compared with non-threat stimuli (Diao et al., 2017).

399 Our ECG results are consistent with the literature showing a higher heart rate for social threat than for  
400 non-threat (Eisenbarth et al., 2016; Weeks & Zoccola, 2015), although another study found a lower  
401 heart rate for threatening vs neutral body expressions (Mello et al., 2022). In that study, participants

402 passively viewed a threatening avatar coming closer, which induced the freezing response reflected in  
403 a reduced heart rate. In our paradigm, participants could actively stop the approaching avatar from  
404 coming closer by pressing the button. Thus, the increased heart rate might reflect emotional arousal to  
405 the threatening body expression rather than a freezing response.

406

## 407 **5. Conclusion**

408 The amplitudes of the earlier components (N170/VPP/N3) are elicited by viewing a threatening body  
409 expression and seem to be independent of control opportunities, while the latter modulate the later LPP  
410 component. Our findings on N170/VPP effects show that these two components may be modulated by  
411 threatening/neutral body expressions, which may reflect mechanisms involved in rapid detection of  
412 social threat in an early-middle stage, such as decoding the meaning of a threatening body expression.  
413 The social threat is further processed in later stages, as indicated by the effects of avatar emotion on  
414 the middle-late cognitive components N3. The ability to control the threat shows in the late cognitive  
415 evaluation stages, as reflected by the LPP effects. In addition, the increased frontal central theta power  
416 and heart rate are related to social threat processing. In sum, our study provides behavioral and neural  
417 insights into how humans process social threats under varying levels of control. On the methodological  
418 side, our study presents a novel VR-EEG-ECG setup that will be useful also for future VR and EEG  
419 studies investigating social interaction situations in a naturalistic fashion.

420

## 421 **Conflict of interest**

422 The authors declare that no conflict of interest exists.



423

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431

## 432 **Data availability**

433 The data are available upon request from the corresponding author.

434

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